

COMPANY PROFILE



Certification Body



Inspection Body



*International
Compliance &
Coaching Academy*

IAS REGISTER AG

-Glocal Compliance Service-

1. PRESENTATION

The IAS REGISTER AG -Glocal Compliance Service- is an accredited Swiss Certification Body, which operates internationally in the field of services of assessment and certification.

These services are referred to as the main international standards (and of individual countries), that define the requirements of the organizations, products-processes-services and of the competence of persons with regard to major topics of Compliance.

The services offered are diversified into the following sectors, ASSESSMENT, INSPECTION AND KNOWLEDGE:

- The ASSESSMENT division, via the IAS INSPECTION - Certification Body, carries out certification activities;
- The INSPECTION division, via the IAS INSPECTION - Inspection Body, carries out inspection activities on products-processes-services, in particular in the Oil & Gas and Food sectors;
- the IAS SCHOOL - International Compliance & Coaching Academy, operates within the KNOWLEDGE area, this organizes training activities pertaining to the subject of Compliance. The activity is particularly focused on the culture of respect for the rules to be considered as a tool for the improvement of organizations, with the aim of maintaining the business continuity and efficiency.

2. IAS HISTORY

IAS REGISTER AG -Glocal Compliance Service- was founded in 2004 by a group of Italian and Swiss professional businessmen who had gained a wealth of experience over the years both in the entrepreneurial and managerial framework in the area of the Compliance of organizations and, more generally, in business management.



In the first 9 years, the activity was mainly concentrated on certification management systems for Quality, Environment, Health and Safety in the Workplace, carried out by the Assessment Division through the IAS REGISTER - Certification Body.

Subsequently, starting from previous specific experience of the founders and of the IAS REGISTER manager, the Inspection Division was formed, which, through the IAS INSPECTION - Inspection Body, focuses its attention on the activities of Inspection and Expediting.

In 2013, from the meeting between a number of managers and Italian and Swiss academics, the IAS School - International Compliance & Coaching Academy, was founded, active in issues of Compliance, and was immediately made operational through training courses which took place in Russia, Italy and Switzerland.

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This project is based on sharing, from the role of the founders, the strategic importance that the Compliance performs and will perform, always in a more significant manner in the system of socio-economic relations between the profit and non-profit organizations, private or public, of any size and industry sector and the communities of various countries in the world.

On behalf of the IAS REGISTER AG -Glocal Compliance Service- today more than 60 professionals operate and work here, divided between staff at headquarters and commercial development, auditors, technical experts, inspectors and teacher trainers.

3. MARKET AND SERVICES

The current target market is European, with clients and contacts also in Asia, Africa and Latin America. The activities are developed in more than 10 countries and have engaged contractual connections with more than 1.500 companies, as well as hundreds of people who have participated in our training activities.



3.1 Assessment Division: IAS REGISTER - Certification Body

The IAS REGISTER AG -Glocal Compliance Service- operates as the requirements of the international ISO 17021 standard, for which it is accredited by the Swiss Accreditation Service (SAS - State Secretariat for Economic Affairs SECO - Federal Department of Education and Research DEFR - SCESm accreditation number 0095).

The SAS is a signatory for the Mutual Recognition Agreements in the field of IAF - International Accreditation Forum.

For management systems, the existing substantiated certification activities are based on:

- Management Systems for Quality according to international standard ISO 9001;
- Environmental Management Systems according to international standard ISO 14001;
- Management Systems for Safety and Health at work according to international standard BS OHSAS 18001 / ISO 45001;
- Energy Management Systems according to international standard ISO 50001;
- Anti-Bribery Management System according to international standard ISO 37001;
- Quality requirements for the welding of fusion for metallic materials according to ISO 3834 international regulations.

The issued accreditations and certifications are recognized under Regulation (EC) 765/2008 of the European Parliament and of the Council which establish requirements in the topic of accreditation and market surveillance relating to the marketing of products.

In reference to this, the IAS REGISTER AG -Glocal Compliance Service- conducts the conformity assessment activities in relation to the following EU Regulations and to some specific regulations of the Italian Republic:

- EU Regulations "End of Waste" - EU Reg. 333/2011 (iron, steel and aluminium scrap), 1179/2012 (glass cullet) and 715/2013 (copper);
- UNI 10891: 2000 - Institute of Private Security;
- UNI 10881: 2013 - Residential Care for the elderly;
- UNI 11034: 2003 - Childcare;
- Reg. CE 852/2004 - Food hygiene.

For the Italian market, IAS REGISTER AG is recognized by the Italian Accreditation institution (ACCREDIA) for the issue of Certificates of Conformity to ISO 9001 (ACCREDIA RT-05) which is to be used within the Italian system for the qualification

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of construction companies (sector EA 28), according to art. 84 of the Legislative Decree 50/2016 and subsequent modifications and ANAC applicable guidelines.

IAS REGISTER AG is also accredited, always with ACCREDIA, according to:

- ISO / IEC 17065 for the release of Service Certification for the sector "Energy Service Companies (ESCO)" according to UNI CEI 11352, Law Decree no. 102 of 04/07/2014, Inter-directorial Decree of Ministry of Economic Development and Ministry for the Environment and the Protection of Land and Sea dated May 12, 2015;
- ISO / IEC 17024 for the release of Service for the sector "Certification of personnel (EGE)" according to UNI CEI 11339, Law Decree no. 102 of 04/07/2014, Inter-directorial Decree of Ministry of Economic Development and Ministry for the Environment and the Protection of Land and Sea dated May 12, 2015.

The following table below shows the diagrams and the sectors for which
IAS REGISTER -Glocal Compliance Service- is accredited:

Norma	Campi tecnici autorizzati	Osservazioni
ISO 9001:2015		Codice IAF
	Estrazione di minerali	2
	Prodotti in gomma e materie plastiche	14
	Prodotti della lavorazione dei materiali non metallici	15
	Calce, gesso, calcestruzzo, cemento e relativi prodotti	16
	Metalli e loro leghe, fabbricazione di prodotti in metallo	17
	Macchine, apparecchi ed impianti meccanici	18
	Macchine elettriche ed apparecchiature elettriche ed ottiche	19
	Altri mezzi di trasporto	22
	Recupero, riciclo	24
	Imprese di costruzione, installatori di impianti e servizi	28
	Commercio all'ingrosso, al dettaglio e intermediari del commercio, riparazione di cicli, motocicli ed autoveicoli, riparazione di beni personali e per la casa	29
	Alberghi, ristoranti e bar	30
	Logistica: trasporti, magazzinaggio e spedizioni, Poste e telecomunicazioni	31
	Intermediazione finanziaria, attività immobiliari, noleggio	32
	Studi di consulenza tecnica, ingegneria	34
	Servizi professionali d'impresa	35
	Istruzione	37
	Sanità e altri servizi sociali	38
	Smaltimento dei rifiuti solidi, delle Acque di scarico e simili	39

Norma	Campi tecnici autorizzati	Osservazioni
ISO 14001:2015		Codice IAF
	Estrazione di minerali	2
	Prodotti in gomma e materie plastiche	14
	Prodotti della lavorazione dei materiali non metallici	15
	Calce, gesso, calcestruzzo, cemento e relativi prodotti	16
	Metalli e loro leghe, fabbricazione di prodotti in metallo	17
	Macchine, apparecchi ed impianti meccanici	18
	Macchine elettriche ed apparecchiature elettriche ed ottiche	19
	Recupero, riciclo	24
	Imprese di costruzione, installatori di impianti e servizi	28
	Commercio all'ingrosso, al dettaglio e intermediari del commercio, riparazione di cicli, motocicli ed autoveicoli, riparazione di beni personali e per la casa	29
	Logistica: trasporti, magazzinaggio e spedizioni, Poste e telecomunicazioni	31
	Studi di consulenza tecnica, ingegneria	34
	Servizi professionali d'impresa	35
	Istruzione	37
	Smaltimento dei rifiuti solidi, delle Acque di scarico e simili	39

Standards	Approved technical scopes	Remarks
ISO 45001:2018 BS OHSAS 18001:2007	Occupational health and safety management systems - Guidelines for the implementation of OHSAS 18001	Already granted certificates according to the standard BS OHSAS 18001:2007 keep their validity until 11.03.2021.
	Agriculture, fishing	IAF Code 1
	Food products, beverages and tobacco	3
	Textiles and textile products	4
	Wood and wood products	6
	Rubber and plastic products	14
	Non-metallic mineral products	15
	Concrete, cement, lime, plaster etc.	16
	Basic metals and fabricated metal products	17
	Machinery and equipment	18
	Electrical and optical equipment	19
	Other transport equipment	22
	Recycling	24
	Water supply	27
	Construction	28
	Wholesale and retail trade; repair of motor vehicles, motorcycles and personal and household goods	29

Norma	Campi tecnici autorizzati	Osservazioni
	Hotels and restaurants	30
	Transport, storage and communication	31
	Financial intermediation, real estate, renting	32
	Information technology	33
	Engineering services	34
	Other services	35
	Education	37
	Health and social work	38
	Other social services	39

Standards	Approved technical scopes	Remarks
ISO 3834-2:2005	Quality requirements for welding, Comprehensive quality requirements	Combined with a certification based on ISO 9001
ISO 50001:2011	Energy Management Systems - Light and medium industry - Buildings - Complex of buildings - Energy production	The certification body fulfils the requirements of the standard ISO 50003:2014

Abbreviation	Signification
IAF Code	International Accreditation Forum; see also IAF ID1, Informative Document for QMS and EMS Scopes of Accreditation

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With reference to the sectors and to the schemes relating to ISO Management Systems for which it's not accredited, the IAS REGISTER AG -Glocal Compliance Service- has entered into a specific agreement with other accredited Certification Bodies.

For the certification of Social Responsibility, there is a partnership with the C.I.S.E. of Forlì-Cesena (FC - ITA) that, as a notified body accredited by SAAS (Social Accountability Accreditation Services) in New York for the SA8000 certification, it is committed to the circulation of the issues and the sustainable development tools and of social responsibility.



3.2 Inspection Division: IAS INSPECTION - Inspection Body

IAS INSPECTION, regarding Inspection activities on products, processes and services, operates in accordance with ISO 17020 "Requirements for the operation of various types of bodies performing inspection" and in compliance with the requirements acquired by its customers (supply orders, quality and control plans and technical specifications, etc.) and applicable mandatory and regulatory requirements, with a program of activities agreed upon between the customer and the supplier.

IAS REGISTER AG -Glocal Compliance Service- for his inspection services, operates with engineers with years of experience into large fields of sectors, for example:

- Oil & Gas and Food market;
- Inspections activities regarding compliance with the Quality, Environment and Safety requirements on building and plant engineering activities;

Particularly, the Inspection activities are focused on:

- Technical Audit (API 6D: Specification for Pipeline Valves / verifications with qualified inspectors NACE / VDA inspection);
- Expediting;
- Vendor Inspection (we offer inspection services, particularly in the Automotive sector, with IATF 16949 I, II and III level qualified inspectors);
- Inspection 3.2, according to international standard EN 10204 (current revision).

For products, referred in particular to EU Reg. 305/2011 and revoked harmonized regulatory, entered into a strategic agreement with the Italian company TECNOPIEMONTE S.p.A. Lenta (VC) - Italy, European Notified Body n. 1372 for the issue of CE Markings in accordance with Directive 89/106/CEE on construction products.

TECNO PIEMONTE S.p.A.



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3.3 Knowledge Division: IAS SCHOOL - International Compliance & Coaching Academy

The IAS SCHOOL - International Compliance & Coaching Academy is a Swiss School that operates internationally with the goal of "spreading" the culture of Compliance.

Its value lies in having developed original conceptual models to transform compliance management into a valuable asset for the business continuity and organizational efficiency.

The mission is to spread and share knowledge and intelligent use of the rules, which together constitute a necessary factor for those who want to create value, continuity, economic and social efficiency and sustainability.

IAS SCHOOL - International Compliance & Coaching Academy unites the different twenty-year experiences of its founders, integrating and contaminating the social aspect with the systemic and organizational rules.

Training Offer

The work of IAS Compliance & Coaching Academy is developed along three business lines:

1. The Higher Education (www.complianceandmanagement.com), proposes *Master Up*, the first Post University course for Compliance Manager, offered in online mode. Master Up is supplemented by the activities carried out within the Campus (Workshop, winter and summer schools). Synthesis and important opportunity for an international comparison is, finally, the *Symposium*, an annual conference dedicated to the Compliance with rules.



2. Catalog Training (<https://cisi.dyndevicelcms.com/it/>), characterized by a wide range of courses in classroom or online – meets the need of specialist training. All courses are run by the IAS e-learning platform, named: I.A. – Interesting Academy.



3. Special Projects, are made "ad hoc" and are the result of the design of training programs according to customer specifications.

In the latter case, the projects will be created "tailor-made" for any requesting organization, to meet requests from specific sectors and/or particular expertise: all courses offered refer to the international standard ISO 17024 and the system of EQS European qualification.

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The position of a Compliance Manager (Higher Education)

The path that we have developed for the formation of the Compliance Manager is our pride and joy, because it constitutes a veritable innovation in the modern organizational scenario.

This position is a novelty both from the point of view of Compliance in the strictest sense, because for the first time it recognizes the fundamental role of an articulated and structured high profile managerial figure, and in the Organization sense (intended as protocol) in general for the value that assumes this new role in the connection between the rules and the value of production (operational and strategic processes).

This program is divided into an offer that includes both the provision of online frontal formation training, the latter divided into various proposals, and a one-day seminar to more in-depth courses and of longer duration / complexity.

The topics covered are the following:

- a) cultural and methodological aspects of Compliance: the vocabulary and language, the relationship between leadership and Compliance, Compliance and organization theory;
- b) the compliance World: mandatory and voluntary;
- c) design and construction, maintenance and improvement, within any organization, of the Glocal Compliance Management System (GCMS[®]).

4. VALUES AND STRENGTHS

In brief, the values of which our work and our strengths that we have built up over time -confirmed by the following results- represent our distinctive character.

- The Compliance understood as the value of our organization and our customers, supported by over a decade of presence in the market;
- Our Code of Ethics and Business Conduct (shown on the site), which constitutes as the only guide and source of inspiration for our conduct;
- The continuity of management, the activities of the Supervisory Committee and the Certification of financial statements (a non-obligatory organism that the IAS REGISTER AG has chosen to have);
- The constant and progressive increase over time of accredited schemes and as (of industries for the individual accredited scheme) a direct result of the vision strategic that the IAS REGISTER AG -Glocal Compliance Service- is capable of making dynamic and relevant developments of the market and the external socio-economic reality;
- The construction of a service model that the IAS REGISTER AG -Glocal Compliance Service- offers its customers, current and potential, however, always having to be careful to maintain absolute respect of accreditation rules;
- The ability to network, demonstrated by partnership agreements, which project the IAS REGISTER AG -Glocal Compliance Service- towards further development international activities;
- The Swiss reputation, attested to by its continuity, growth and development in a country known for its value of rules and ethics.

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5. THE MANIFEST

The manifest of IAS REGISTER AG -Global Compliance Service- would like to express, in a different way, who we are, what we believe in and what our behaviour in the market and in the socio-economic community is that surrounds us.

INTENT

We were born "inside the rules" and we are "inside the rules". This means "to manage, not undergo": outline, define and choose rules that do not take value away from it, but add into the organization.

THE SYSTEM OF BELIEFS

Organizations are governed by process, these processes are kept in place by relationships. The relationship between people -from the inside to the outside of a system- are founded and developed and they feed on a thought and common language, a way of living together. The Compliance is also this. Each training project begins here.

THE RULES

The rules are like a knife: if you are subjected to them, they cut, if you learn to use them, they translate into a competitive advantage. Our goal is to broaden your view on rules and teach everyone how to use them without cutting themselves.

OUR IDEA OF COMPLIANCE

Many have travelled a thousand ways to reach efficiency. For us, efficiency is through knowledge. Our intent is to make Compliance the key passage between the knowledge of few and the heritage and culture of many, for everyone.

OUR VALUES

We have the value produced by the organization at heart, the respect of its market and of its community, its economic viability and its continuity over time. We design and build the "trust" that ethically and financially supports it.

OUR FUNCTION

We place ourselves as intermediaries between the customer needs and the evolution of the rules of the world. We offer our expertise to build a model of 6 C's: Conformity, Continuity, Competitiveness, Congruence, Consciousness and Coherence together.

THE PASSION OF THE RULE

We chose this "profession" for the pleasure of being among people, in the centre of processes and organizations. The meaning of our existence is to be useful. We are fascinated by the value that is created from the meeting of our experience and passion with that of our client.

MANDATORY PRINCIPLES

We only do what we think is right for the stakeholders of our clients and our business. We update and deepen our understanding on a daily basis, studying the evolution of society and the market, and keeping ourselves updated on how to change their rules, globally and locally.

OUR STRENGTH

At the base of our work is the expertise matured through curiosity, study, a constant deepening of technical-scientific and social culture.

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6. CONTACTS

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